HONG KONG MONETARY AUTHORITY
香 港 金 融 管 理 局

AML/CFT Regtech

Promoting industry's adoption of AML/CFT Regtech continues to be one of the HKMA's key supervisory focuses. The HKMA has taken a number of initiatives to encourage industry's adoption and to support our vision for AML/CFT Regtech development in Hong Kong. The relevant materials are covered below.

Circulars

Document	Date
Use of Artificial Intelligence for Monitoring of Suspicious Activities (PDF File, 168.5 KB) Use of Artificial Intelligence for Monitoring of Suspicious Activities Annex - Use of Technologies to improve the Effectiveness and Operational Efficiency of Monitoring for MLTF (PDF File, 182.3 KB)	09 Sep 2024
Thematic Review of Transaction Monitoring Systems and Use of Artificial Intelligence (PDF File, 133.7 KB) Thematic Review of Transaction Monitoring Systems and Use of Artificial Intelligence Encl. Insights for design implementation and optimisation of Transaction Monitoring Systems (PDF File, 3.1 MB)	17 Apr 2024
Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Surveillance Capability Enhancement Project (PDF File, 135.6 KB) Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Surveillance Capability Enhancement Project Encl. The Digitalisation of AML / CFT Supervision: Where Now and What Next? (PDF File, 2.3 MB)	07 Feb 2024
Report on "AML/CFT Regtech: Case Studies and Insights Volume 2" (PDF File, 149.9 KB) Report on "AML/CFT Regtech: Case Studies and Insights Volume 2"	25 Sep 2023

Document	Date
Annex "AML/CFT Regtech: Case Studies and Insights Volume 2" (PDF File, 17.5 MB)	
Supporting the Use of New Technologies for AML/CFT: Suggested Actions for the Hong Kong Banking Sector (PDF File, 200.0 KB) Supporting the Use of New Technologies for AML/CFT: Suggested Actions for the Hong Kong Banking Sector	11 Aug 2021
Remote on-boarding and iAM Smart (PDF File, 206.2 KB) Remote on-boarding and iAM Smart	24 May 2021
Feedback from Thematic Review of the Use of External Information and Data in Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Systems (PDF File, 170.9 KB) Feedback from Thematic Review of the Use of External Information and Data in Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Systems Encl. Key observations and good practices in the use of external information and data in AML/CFT systems (PDF File, 627.9 KB)	26 Apr 2021
Report on "AML/CFT Regtech: Case Studies and Insights" (PDF File, 229.6 KB) Report on "AML/CFT Regtech: Case Studies and Insights" Encl. AML/CFT Regtech: Case Studies and Insights (PDF File, 14.4 MB)	21 Jan 2021
Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Surveillance Capability Enhancement Project (PDF File, 155.9 KB) Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Surveillance Capability Enhancement Project Encl. AML/CFT Supervision in the Age of Digital Innovation (PDF File, 4.6 MB)	29 Sep 2020
Remote on-boarding of corporate customers (PDF File, 78.5 KB) Remote on-boarding of corporate customers	24 Sep 2020

Document	Date
📄 Feedback from recent thematic reviews of Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) control measures for remote customer on-boarding initiatives (PDF File, 153.1 KB) Feedback from recent thematic reviews of Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) control measures for remote customer on-boarding initiatives Encl. Key observations and good practices in AML/CFT control measures for remote customer on-boarding initiatives (PDF File, 431.5 KB)	03 Jun 2020
📄 HKMA AML/CFT RegTech Forum, 22 and 25 November 2019 – Record of Discussion (PDF File, 185.9 KB) HKMA AML/CFT RegTech Forum, 22 and 25 November 2019 – Record of Discussion Encl. 1 Record of Discussion (PDF File, 1.6 MB)	23 Dec 2019
📄 Remote on-boarding of individual customers (PDF File, 71.9 KB) Remote on-boarding of individual customers	01 Feb 2019

Press release

25 Sep 2023	↗	AML/CFT Regtech: Case Studies and Insights Volume 2
07 Jun 2023	↗	The fourth Anti-Money Laundering Regtech Lab: supporting an agile, coordinated and strategic response to digital fraud and financial crime
09 May 2023	↗	AML Regtech: Network Analytics
24 Nov 2022	↗	HKMA's AMLab series with Cyberport: A digital response to fraud
21 Jul 2022	↗	HKMA and Cyberport jointly promote use of regulatory technology (Regtech) in Anti-Money Laundering (AML)
05 Nov 2021	↗	HKMA launches AML Regtech Lab

16 Sep 2021   ↗ The HKMA hosts Anti-Money Laundering Webinar on Collaboration, Data and Technology

21 Jan 2021   ↗ AML/CFT Regtech: Case Studies and Insights

22 Nov 2019   ↗ Hong Kong Monetary Authority (HKMA) fosters a diversified ecosystem for Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Regulatory Technology (RegTech)

Speeches & Publications

28 Nov 2024   ↗ Opening remarks at Experience Sharing Forum on Use of Artificial Intelligence for Monitoring of Suspicious Activities
by Raymond Chan, Executive Director (Enforcement and AML), Hong Kong Monetary Authority

07 Feb 2024   📄 The Digitalisation of AML / CFT Supervision: Where Now and What Next? (PDF File, 2.3 MB)

25 Sep 2023   📄 AML/CFT Regtech: Case Studies and Insights Volume 2 (PDF File, 17.5 MB)

09 May 2023   📄 AML Regtech: Network Analytics (PDF File, 15.0 MB)

05 Nov 2021   ↗ Opening remarks at AML Regtech Lab (AMLab)
by Carmen Chu, Executive Director (Enforcement and AML), Hong Kong Monetary Authority

28 Sep 2021   📄 Building an AML Regime for the Future: Are we there yet?

• Speech (PDF File, 433.5 KB)

• Presentation slides (PDF File, 778.3 KB)
by Stewart McGlynn, Head (Anti-Money Laundering and Financial Crime Risk), Hong Kong Monetary Authority

16 Sep 2021   ↗ Welcoming Remarks at Anti-Money Laundering Webinar 2021

by Carmen Chu, Executive Director (Enforcement and AML), Hong Kong
Monetary Authority

28 Sep 2020   📝 Moving the Needle: Driving Improved Outcomes in Anti-Money
Laundering - The Role of RegTech

- Speech (PDF File, 49.5 KB)

- Presentation slides (PDF File, 680.9 KB)

by Stewart McGlynn, Head (Anti-Money Laundering and Financial Crime
Risk), Hong Kong Monetary Authority

22 Nov 2019   ↗️ Welcome Remarks at the HKMA Anti-Money Laundering and Counter-
Financing of Terrorism (AML/CFT) Regulatory Technology (RegTech)
Forum (Excerpt)
by Arthur Yuen, Deputy Chief Executive, Hong Kong Monetary Authority

Past events

Document	Date
📝 AML Regtech Lab (AMLab 4) Press release: The fourth Anti-Money Laundering Regtech Lab: supporting an agile, coordinated and strategic response to digital fraud and financial crime	07 Jun 2023
📝 AML Regtech Lab (AMLab 3) Press release: HKMA's AMLab series with Cyberport: A digital response to fraud	24 Nov 2022
📝 AML Regtech Lab (AMLab 2) Press release: HKMA and Cyberport jointly promote use of regulatory technology (Regtech) in Anti-Money Laundering (AML)	21 Jul 2022
📝 AML Regtech Lab (AMLab 1) Speech: Opening remarks by Carmen Chu, Executive Director (Enforcement and AML), Hong Kong Monetary Authority	15 Nov 2021

Document	Date
Press release: HKMA launches AML Regtech Lab Video: HKMA launches AML Regtech Lab	
Anti-Money Laundering Webinar on Collaboration, Data and Technology Seminar material 1: Supervisory Feedback (PDF File, 458.6 KB) Seminar material 2: Financial Intelligence and Investigation Bureau and Financial Data Analytic Platform (PDF File, 1.1 MB) Seminar material 3: Fraud and Money Laundering Intelligence Taskforce (PDF File, 3.7 MB) Seminar material 4: Industry Regtech Adoption (PDF File, 1.7 MB) Seminar material 5: Network Analysis Tool for Combating Financial Crime (PDF File, 778.6 KB) Seminar material 6: Use of Digital Footprints in Identifying Potential Mule Network (PDF File, 390.5 KB) Seminar material 7: Financial Crime Information Sharing (PDF File, 1.2 MB)	16 Sep 2021
AML/CFT RegTech Forum Circular: HKMA AML/CFT RegTech Forum, 22 and 25 November 2019 – Record of Discussion (PDF File, 185.9 KB) Encl. 1 Record of Discussion (PDF File, 1.6 MB) Speech: Welcome Remarks at the HKMA Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Regulatory Technology (RegTech) Forum (Excerpt) by Arthur Yuen, Deputy Chief Executive, Hong Kong Monetary Authority Press release: Hong Kong Monetary Authority (HKMA) fosters a diversified ecosystem for Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) Regulatory Technology (RegTech)	23 Dec 2019

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